JC10 Rec'd PCT/PTO 1.4-13JUL 2005 Approved for use through 3/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 84637.010500-US-F1

CONCERNING	A SUBMISSIO	U.S. APPLICATION NOT (IF thought see 37 CFR 1.5)					
INTERNATIONAL APPLICATION NO. PCT/EP2004/000157		INTERNATIONAL FILING DATE 13 January 2004	PRIORITY DATE CLAIMED 15 January 2003				
TITLE OF INVENTION SYSTEM AND METHOD FOR OPTIMIZATION OF A DATABASE FOR THE TRAINING AND TESTING OF PREDICTION ALGORITHMS							
APPLICANT(S) FOR DO/EO/US Massimo BUSCEMA							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST s	1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. This is a SECONI	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. The US has been elected (Article 31).							
5. A copy of the In	5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. 🗸 is atta	a.  is attached hereto (required only if not communicated by the International Bureau).						
b. has b	b. has been communicated by the International Bureau.						
c. is not	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. An English lang	uage translation of th	e International Application as filed (35 U.S.	C. 371(c)(2)).				
a. 🚺 is atta	ached hereto.						
b. has b	een previously submi	tted under 35 U.S.C. 154(d)(4).					
7. Amendments to	the claims of the Inte	ernational Application under PCT Article 19	(35 U.S.C. 371(c)(3))				
a. are a	attached hereto (requi	red only if not communicated by the Intern	ational Bureau).				
b. have	been communicated	by the International Bureau.					
c. L have	not been made; how	ever, the time limit for making such amend	ments has NOT expired.				
d. have	d. have not been made and will not be made.						
8 An English lang	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. An oath or deda	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)						
An English lang Article 36 (35 U	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:							
11. An Information	Disclosure Statement	under 37 CFR 1.97 and 1.98.					
12. An assignment	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary ar	A preliminary amendment.						
14. An Application [	An Application Data Sheet under 37 CFR 1.76.						
15. A substitute spe	A substitute specification.						
16. A power of attor	A power of attorney and/or change of address letter.						
17. A computer-read	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19 A second copy of	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

### JC20 Rec'd PCT/PTO 1 4 JUL 2005

PTC-1390 (Rev. 07-2005)
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U.S. APPLICATION	N NO. ((KM2 M2 46 B 78 FR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2004/000157		ATTORNEY'S DOCKET NUMBER 84637.010500-US-F1			
20. Other items or information:						
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
	<u> </u>			\$300	\$ 300	
ا ا	on fee (37 CFR	200				
If the written opinion p	repared by ISA	VUS or the inte	mational preliminary examina sions of PCT Article 33(1)-(4)	tion report prepared	\$	
	e (37 CFR 1.49	500				
If the written opinion of IPEA/US indic	of the ISA/US or cates all claims	r the Internation satisfy provisio	al preliminary examination re ns of PCT Article 33(1)-(4)	port prepared by <b>\$0</b>		
Search fee (37 CFR 1	.445(a)(2)) has	been paid on t	he international application to	the USPTO as an	\$	
International Search F	Report prepared	by an ISA othe	er than the US and provided to	o the Office or		
All other situations	imunicated to ti	ne US by the IE	3	\$400 \$500		
тот.	AL OF 21, 22 a	and 23 =				
			d in paper over 100 sheets (e 1.821(c) or (e) or computer p			
electronic med	dium) (37 CFR	1.492(i)).	., .,	logiani iisung in an		
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets Extr		a Sheets Number of each additional 50 or fraction thereof (round up to a whole number)		RATE		
- 100 =	/50 =	/50 = x \$250		× \$250	\$	1
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$	
CLAIMS	NUMBER	R FILED	NUMBER EXTRA	RATE	\$	
Total claims	34	- 20 =	14	x \$50	\$ 700	
Independent claims	2	- 3 =	0	× \$200	\$ o	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0 + \$360					\$	<u>.</u>
TOTAL OF ABOVE CALCULATIONS =					\$	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL =					\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE =					\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$ 1700	
					Amount to be refunded:	\$
					Amount to be charged	\$

10/542208

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A check in the amount of \$	to cover the above fees is enclosed.					
b. Please charge my Deposit Account No. 501561 A duplicate copy of this sheet is enclosed.	in the amount of \$ _1700 to cover the above fees.					
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501561						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:	2.000					
Dated: July 14, 2005	SIGNATURE Gerard F. Diebner					
CORRESPONDENCE:	NAME . 31,345					
Gerard F. Diebner, Esq. GREENBERG TRAURIG, LLP Met Life Building 200 Park Avenue New York, New York 10166 Phone: 212-801-2134 Fax: 212-801-6400	REGISTRATION NUMBER					

## JC20 Rec'd PCT/PTO 1 4 JUL 2009

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** 

Massimo BUSCEMA

SERIAL NO.

To Be Assigned

FILED

To Be Assigned

ATTY. DOCKET

84637.010500/US-F1

TITLE

SYSTEM AND METHOD FOR OPTIMIZATION OF A

DATABASE FOR THE TRAINING AND TESTING OF

PREDICTION ALGORITHMS

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No. EV 569744231 US

Date of Deposit:

July 14, 2002

I hereby certify that the following attached paper(s) and/or fee

- (1) Transmittal Letter DO/EO/US Form PTO-1390 with Fee Calculation authorizing deduction from deposit account 501561 of \$1700,
- (2) Copy of Published PCT/EP2004/000157 (59 pgs spec; 12 pg cls; 8 figs)
- (3) Unexecuted Oath/Declaration;
- (4) Preliminary Amendment (15 pgs);
- (5) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: July 14, 2005

Randi Flamenbaum

Legal Assistant

CORRESPONDENCE:

Gerard F. Diebner, Esq.

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